

Important Disclaimers

Lebenthal Global Advisors, LLC ("LGA") is a Registered Investment Advisor ("RIA"), and is headquartered at 200 Parkway Drive South Suite # 200 Hauppauge, NY 11788. LGA provides investment advisory and related services for clients nationally. LGA will maintain all applicable registration and licenses as required by the various states in which LGA conducts business, as applicable. LGA renders individualized responses to persons in a particular state only after complying with all regulatory requirements, or pursuant to an applicable state exemption or exclusion.

Lebenthal Financial Services, Inc ("LFS") offers securities as a Member of [FINRA/SIPC](#), and is Headquartered at 500 North Broadway Suite #238 Jericho, NY 11753 (phone: 516- 349-5555). Check the background of this firm on FINRA's [Broker Check](#). LFS provides brokerage services including advice on brokerage accounts, margin accounts, self- directed retirement plans, and employer-sponsor retirement plans. For those persons inquiring from states where a specific associate is not currently securities and/or insurance licensed, the associate will not transact business in that state or provide follow- up, individual responses, until after the associate obtains the appropriate registration in the applicable state.

The information provided on this website does not constitute an offer to sell securities. All investment decisions should be made based on individual circumstances, needs and goals after a discussion with your Financial Professionals. Additionally, the information on this website is not intended as tax or legal advice. Please consult legal or tax professionals for specific information regarding your individual situation.

Terms of Use

Please read these terms and conditions of use ("Terms") carefully before using the website located at www.lebenthal.com ("Website") or any of the information or services provided by LGA or LFS (collectively "the Company", "we", "our", "us") in connection with the Website. By using the Website, you acknowledge that you have read and understood these Terms and accept to be legally bound by them. If you do not accept and agree to these Terms, you are not an authorized user of the Website or any of the information or services provided by us in connection with the Website and should promptly terminate all use thereof. The terms "you" and "your" mean you and any entity you may represent in connection with the use of the Website. You may use your browser to download or print a copy of these Terms for your records.

We reserve the right to change, modify, add or remove portions of these Terms at any time for any reason. We suggest that you review these Terms periodically for changes. Such changes shall be effective immediately upon posting. You acknowledge that by accessing our Website after we have posted changes to these Terms, you are agreeing to these Terms as modified.

These Terms were last updated on 01/18/2024.

Risk Disclosure

Different types of investments involve varying degrees of risk. Therefore, it should not be assumed that future performance of any specific investment or investment strategy will be profitable.

Asset allocation may be used in an effort to manage risk and enhance returns. It does not, however, guarantee a profit or protect against loss. Performance of the asset allocation strategies depends on the underlying investments.

This website is intended to provide general information about the Company and its services. It is not intended to offer or deliver investment advice in any way. Information regarding investment services are provided solely to gain an understanding of our investment philosophy, our strategies and to be able to contact us for further information.

Market data, articles and other content on this website are based on generally available information and are believed to be reliable. We do not guarantee the accuracy of the information contained in this website. The information is of a general nature and should not be construed as investment advice.

Please remember that it remains your responsibility to advise us, in writing, if there are any changes in your personal/financial situation or investment objectives for the purpose of reviewing/evaluating/revising our previous recommendations and/or services, if you would like to impose, add, or to modify any reasonable restrictions to the services we provide to you.

LGA will provide all prospective clients with a copy of our current Form ADV, Part 2A ("Disclosure Brochure"), Form ADV Part 2B, which is the Brochure Supplement for each advisory person supporting a particular client, and the Form ADV Part 3 (Client Relationship Summary or Form CRS). You may obtain a copy of these disclosures on the SEC website at <http://adviserinfo.sec.gov> or you may contact tkatovitz@lebenthal.com to request a free copy via .pdf or hardcopy.

Privacy Disclosures

We are committed to safeguarding the use of personal information of our Clients (also referred to as "you" and "your") that we obtain, as described in our Privacy Policy.

We do not collect personal non-public information through this website; however, the Company may collect information from you on application forms, agreements, profile or investment policy statements, and other documents received or processed in relation to services we provide. We also may collect information from other sources.

We do not respond to "do not track" requests because we do not track you over time or across third party websites to provide targeted advertising. We may track you across

our website to help us improve our content.

We may use "cookies" and similar online technologies to keep, and sometimes track, information about you regarding your usage of our website. Cookies are small data files that are sent to your browser or related software from a Web server and stored on your device. Cookies help us to collect information about your usage of our website, including date and time of visits, pages viewed, amount of time spent on our sites, or general information about the device used to access the site, such as the browser used. You can refuse to store or delete cookies by configuring your web browser settings. Most browsers and mobile devices have their own settings to manage cookies. If you refuse a cookie when on our website, or if you delete cookies, you may experience some inconvenience in your use of our website, such as having to re-configure preferences.

When you are on this website you may have the opportunity to click-through to other websites, including websites operated by unaffiliated third parties. These sites may collect nonpublic personal Information about you. We do not control sites operated by these entities and are not responsible for the information practices of these sites. The Company does not guarantee, approve nor endorse the information or products available on these the sites, nor do links indicate any association with or endorsement of the linked sites by the Company. This Privacy Policy does not address the information practices of other websites. The privacy policies of websites operated by third parties are located on those sites.

Email Disclosures

We often communicate with clients and prospective clients through electronic mail ("email") and other electronic means. Your privacy and security are very important to us. The Company makes every effort to ensure that email communications do not contain sensitive information. We remind our clients and others not to send us private information over email. If you have sensitive data to deliver, we can provide secure means for such delivery.

Please note: The Company does not accept trading or money movement instructions via email or voice message.

As regulated entities, LGA and LFS emails may be subject to inspection by the Chief Compliance Officer ("CCO") of each entity or the securities regulators.

If you have received an email from us in error, we ask that you contact the sender and destroy the email and its contents.

If you have any questions regarding our email policies, please contact rmason@lebenthal.com

Social Websites

We may utilize third-party websites, including social media websites, blogs and other interactive content. The Company considers all interactions with clients, prospective clients and the general public on these sites to be advertisements under the securities regulations. As such, we generally retain copies of information that the Company or third-parties may contribute to such sites. This information is subject to review and inspection by the respective CCOs of the Company or the securities regulators.

Information provided on these sites is for informational and/or educational purposes only and is not, in any way, to be considered investment advice nor a recommendation of any investment product. Advice may only be provided by the Company's registered persons after entering into the appropriate agreement and provided us with all requested background and account information.

If you have any questions regarding our policies, please tkatovitz@lebenthal.com or rmason@lebenthal.com